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PTO/SB/05 (4/98) Please type a plus sign (+) inside this box → + Attorney Docket No. 100718-421 (MIC-79)UTILITY First Inventor or Application Identifier James J. Hofmann PATENT APPLICATION ቪ Photolithographic TRANSMITTAL Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. 301008732US M Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) 5. Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission Specification [Total Pages A7 (if applicable, all necessary) (preferred arrangement set forth below) a. Computer Readable Copy - Descriptive title of the Invention - Cross References to Related Applications Paper Copy (identical to computer copy) b. Statement Regarding Fed sponsored R & D Statement verifying identity of above copies - Reference to Microfiche Appendix C. - Background of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement (when there is an assignee) - Detailed Description 8. ∆ Attornev - Claim(s) 9 English Translation Document (if applicable) - Abstract of the Disclosure Information Disclosure Copies of IDS 10. Drawing(s) (35 U.S.C. 113) [Total Sheets 10 Statement (IDS)/PTO-1449 Citations Preliminary Amendment 4. Oath or Declaration [Total Pages 3 Return Receipt Postcard (MPEP 503) 12. Newly executed (original or copy) (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) \* Small Entity Statement filed in prior application, (for continuation/divisional with Box 16 completed) Statement(s) Status still proper and desired **DELETION OF INVENTOR(S)** (PTO/SB/09-12) Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 5. Other: NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) Richard A. Goldenberg Name Hale and Dorr LLP 60 State Street Address

Name (Print/Type) Richard, A. Goldenberg Registration No. (Attorney/Agent) 38,895

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PTO/SB/17 (12/99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **TRANSMITTAL** for FY 2000

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTOISBI09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$) 2,692.00

Complete if Known					
Application Number	New Application	7			
Filing Date	Concurrently Herewith				
First Named Inventor	James J. Hofmann				
Examiner Name		5 /5			
Group / Art Unit		50			
Attorney Docket No.	100718-421 (MIC-79)	5			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit		3. ADDITIONAL FEES Large Entity Small Entity Fee				
Account Number 08-0219		( <b>\$</b> ) 130	Cod 205	e (\$) 65	Surcharge - late filing fee or oath	
Deposit Hale and Dorr LLD	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Name Hale and Dorr LLP	139	130	139	130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2	2,520	147	2,520	For filing a request for reexamination	
2. Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Check Money Other	113 1	1,840 <b>°</b>	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION		110	215	55	Extension for reply within first month	
1. BASIC FILING FEE		380	216	190	Extension for reply within second month	
Large Entity Small Entity	117	870	217	435	Extension for reply within third month	
Fee Fee Fee Fee Description	118 1	,360	218	680	Extension for reply within fourth month	
101 600 201 345 Litility filing fee	128 1	,850	228	925	Extension for reply within fifth month	
106 310 206 155 Design filing fee 690	119	300	219	150	Notice of Appeal	
107 480 207 240 Plant filing fee	120	300	220	150	Filing a brief in support of an appeal	
108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral hearing	
114 150 214 75 Provisional filing fee	138 1	,510	138	1,510	Petition to institute a public use proceeding	
		110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 690		,210	241	605	Petition to revive - unintentional	
2. EXTRA CLAIM FEES		,210	242	605	Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Pald		430	243	215	Design issue fee	
Total Claims 77 -20** = 57 × 18 = 1026	144	580	244	290	Plant issue fee	<del></del>
Independent 15 - 3** = 12 x 78 = 936	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent =	123	50	123	50	Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see below		240	126	240	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	<del></del>
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	149	690	249	345	For each additional invention to be	
The state of the s					examined (37 CFR § 1.129(b))	
109 78 209 39 ** Reissue independent claims over original patent		Other fee (specify)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		e (spe	ecify)			
SUBTOTAL (2) (\$) 1,962 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40					40	
SUBMITTED BY					Complete (If anyline bla)	

SUBMITTED BY					Complete (ii	f applicable)		
Name (Print/Type)	Richard	A. Goldenberg	Registration No. (Attorney/Agent)	38,895	Telephone	(617) 5	526-654	8
Signature	Rehl	holester)			Date	April 2	26, 200	0
· <u> </u>	WARNING:							

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 100718-421(MIC-79)

Applicant:

James J. Hofmann et al.

Serial No.

To Be Assigned

Filed:

Herewith

Title:

PHOTOLITHOGRAPHIC MOLDS AND SOL GEL SPACERS FOR FLAT

PANEL DISPLAYS

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Attached hereto for the appropriate action by the United States Patent and Trademark Office in connection with the above-identified matter are the following documents:

1. Utility Patent Application Transmittal under 37 C.F.R. § 1.53(b), including;

Patent Application

comprising: <u>38</u> pages of Specification, <u>8</u> pages of Claims, <u>1</u> page of Abstract, and <u>10</u> sheets of Formal Drawings (Figs. 1, 2, 3A - 3K, 4, 5, 6A - 6C, 7A, 7B, 8, 9A - 9H, 10A - 10D, 11, 12, 13A, 13B);

- 2. Declaration (executed);
- 3. Election under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (executed);
- 4. Assignment and Recordation Form Cover Sheet;
- 5. Fee Transmittal (in duplicate); and,
- 6. Return postcard.

"Express Mail" N	Mailing Label No. EL301008732US
<b>Date of Deposit:</b>	April 26, 2000

Hofmann et al. Serial No. TBA

Page 2

Please charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Date: April 26, 2000

Richard A. Goldenberg Registration No. 38,895 Attorney for Applicant

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